Oracle® Banking Enterprise Originations

Functional Upgrade Guide

Release 2.12.0.0.0

F42013-01

May 2021

ORACLE®

Oracle Banking Enterprise Originations Functional Upgrade Guide, Release 2.12.0.0.0

F42013-01

Copyright © 2017, 2021, Oracle and/or its affiliates.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software" or "commercial computer software documentation" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface **4**
Audience 4
Documentation Accessibility 4
Related Documents 4
Conventions 4
1 Overview **7**
2 Upgrade Impacts **9**
2.1 Party 9
2.1.1 Define Party Bank Policy (Fast Path: PI314) 9
2.1.2 Identification Task Flow 9
2.1.2.1 AU Localization - Identification Task Flow 10
2.1.3 AML Consents under Party Selection Panel 10
2.2 Pricing 11
2.2.1 Price Definition (Fast Path: PR006) 11

Preface

This guide covers the impacts of upgrading the functionalities of Oracle Banking Enterprise Originations from Release 2.10.0.0.0 to Release 2.12.0.0.0.

This preface contains the following topics:

- Audience
- Documentation Accessibility
- Related Documents
- Conventions

Audience

This guide is intended for the users of Oracle Banking Enterprise Originations.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

Related Documents

For more information, see the following documentation:

- For installation and configuration information, see the Oracle Banking Enterprise Originations Installation Guide - Silent Installation.
- For a comprehensive overview of security, see the Oracle Banking Enterprise Originations Security Guide.
- For the complete list of licensed products and the Third Party licenses included with the license, see the Oracle Banking Enterprise Originations Licensing Guide.
- For information related to setting up a bank or a branch, and other operational and administrative functions, see the Oracle Banking Enterprise Originations Administrator Guide.
- For information related to customization and extension, see the Oracle Banking Enterprise Originations Extensibility Guide for Host, SOA, and UI.
- For information on the functionality and features, see the Oracle Banking Enterprise Originations Functional Overview document.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1 Overview

This guide covers the functional impacts of upgrading Oracle Banking Enterprise Originations from Release 2.10.0.0.0 to Release 2.12.0.0.0. If a functionality has an impact while upgrading from the previous version, the impact and related maintenances are included in this guide.

2 Upgrade Impacts

This chapter describes the upgrade impacts of functionality in Oracle Banking Enterprise Originations.

2.1 Party

This section describes the upgrade impacts of functionality in Party.

2.1.1 Define Party Bank Policy (Fast Path: PI314)

The upgrade impact is as follows:

Table 2–1 Define Party Bank Policy (Fast Path: PI314) - Data Elements

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
Applicable For	New	All Product value is selected as default value. Bank can modify this value using Update mode.	
Enable FATCA/CRS Data Capture	New	Default value is not set and this field is non editable in Update mode. ■ For NAB, All value is populated using scripts. ■ For Westpac, no impact as it will continue with existing behavior.	

2.1.2 Identification Task Flow

The upgrade impact is as follows:

Table 2–2 Identification Task Flow - Data Elements

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
Expiry Date for Driving License Gender for Passport Date of Issue, Expiry Date, Country of issue,	New/Modified	For existing parties, the values are populated and place holders are created using scripts for all existing identification types. This scripts are applicable for newly added mandatory data	

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
Place of Issue for Foreign Passport Date of Issue, Expiry Date, Issuing Authority, Place of Issue for SSN Country of Issue for Birth Certificate		field or for existing data field that is made mandatory. ■ Expiry Date for Driving License ■ Gender For Passport ■ Date of Issue, Expiry Date, Country of Issue, Place of Issue for Foreign Passport ■ Date of Issue, Expiry Date, Issuing Authority, Place of Issue for SSN ■ Country of Issue for Birth Certificate	

2.1.2.1 AU Localization - Identification Task Flow

The upgrade impact is as follows:

Table 2–3 Identification Task Flow - Data Elements

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
Card Type	New	For existing parties, to capture Medicare Identification type, the values are populated and place holders are created using scripts .	
Expiry Date	Modified	For existing parties, to capture Medicare Identification type, the format of expiry date is changed using scripts when the card type is selected as Green.	

2.1.3 AML Consents under Party Selection Panel

The upgrade impact is as follows:

Table 2–4 AML Consents under Party Selection Panel - Data Elements

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
AML Consent	New	■ For NAB, if this field is set mandatory in Seed Data Configuration (Fast Path: OP006) for existing parties, then values are populated and placeholders are created using scripts. ■ This field is not applicable for Westpac.	

2.2 Pricing

This section describes the upgrade impacts of functionality in Pricing.

2.2.1 Price Definition (Fast Path: PR006)

The Negotiable check box is replaced with the Type of Negotiation drop down in this screen. The values of this drop down list are:

- Negotiable
- Negotiable-Waive Full Fee
- Non-negotiable

The Negotiable-Waive Full fee option is applicable only if the Price Category option is selected as Fee.

Table 2–5 Price Definition (Fast Path: PR006) - Data Elements

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
Type of Negotiation	New	■ For all PDs where Negotiable checkbox was selected, the corresponding option of Negotiable will be selected for Type of Negotiation field. ■ For all PDs where Negotiable checkbox was not selected, the corresponding option	

Data Element Label Name	Type (New / Modified / Dropped)	Impact of Change on Migration	Remarks
		of Non-Negotiable will be selected for Type of Negotiation field	